

Electronic Acknowledgement Receipt

EFS ID:	7116807
Application Number:	10628631
International Application Number:	
Confirmation Number:	4899
Title of Invention:	SYSTEM AND METHOD FOR IMPROVED ELECTRONIC TRADING
First Named Inventor/Applicant Name:	Fred Monroe
Customer Number:	39310
Filer:	Thomas J. Loos
Filer Authorized By:	
Attorney Docket Number:	03-748
Receipt Date:	01-MAR-2010
Filing Date:	28-JUL-2003
Time Stamp:	19:47:56
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$810
RAM confirmation Number	7348
Deposit Account	132490
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	03-748_RCE_030110.pdf	1054249	no	3
			9c6d195217d4f580c5d55d570d59813e0308953cc		

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	03-748_IDS_030110.pdf	1456442	no	5
			35b92bfe0e6d92fd0c33a07417a122487c23273		

Warnings:

Information:

A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems.

3	NPL Documents	03-748-Z_PrelimAmend_072606.pdf	71106	no	4
			4367802ab403c5dd3c68d826a389774d9953d44d		

Warnings:

Information:

4	NPL Documents	03-748-Z_NFOA_092707.pdf	351150	no	11
			d1f06a7e0b6a6e6be263b584b9c555c0ac4a46bb3		

Warnings:

Information:

5	NPL Documents	03-748-Z_NFOAR_122707.pdf	192498	no	7
			0661a2e470cc22814caa77ccd222c2a2948062f		

Warnings:

Information:

6	NPL Documents	03-748-Z_FOA_040208.pdf	679364	no	19
			49065b693b7fed4f9ee2f2a24989370f860ff65		

Warnings:

Information:

7	NPL Documents	03-748-Z_060908_FOAR.pdf	358742	no	7
			80b10127464fd80552d4c0c6d904845a21872ab		

Warnings:

Information:

8	NPL Documents	03-748-Z_062608_AA.pdf	120170	no	3
			74446dae62a611db3dcdf937738a3bc19c6d9b06		
Warnings:					
Information:					
9	NPL Documents	03-748-Z_Response_with_RCE_080108.pdf	256287	no	7
			ba3a15a6466f0802fabd7fdb3305e6663da0dfab		
Warnings:					
Information:					
10	NPL Documents	03-748-Z_NFOA_092408.pdf	777661	no	19
			9669b927a2c8d3828aa4583aff303ec71006b9b0		
Warnings:					
Information:					
11	NPL Documents	03-748-Z_NFOAR_121808.pdf	367601	no	9
			9a784982592a4ca193671e519321eeb60c88a633		
Warnings:					
Information:					
12	NPL Documents	03-748-Z_FOA_041509.pdf	1230740	no	26
			965d658c8d6336df69a9c5fc31f0abae49338c6		
Warnings:					
Information:					
13	NPL Documents	03-748-Z_Response_with_RCE_060109.pdf	469322	no	10
			d1bbbf9e0fa5b533c533147298f062f6133319f40		
Warnings:					
Information:					
14	NPL Documents	03-748-Z_061009_AA.pdf	110938	no	3
			ed09937b16885d923ce86ce1d6e814d928dad08		
Warnings:					
Information:					
15	NPL Documents	03-748-Z_NFOA_073009.pdf	1220734	no	25
			253835aa5145d86ac4321c6b7eca50c1a0930d95		
Warnings:					
Information:					
16	NPL Documents	03-748-Z_NFOAR_102709.pdf	318431	no	8
			1d87f42a370d3af395bf974c945f3129c359a240		
Warnings:					
Information:					

17	NPL Documents	03-748-Z_IntSum_110409.pdf	163628 88caf888cb7515f4f8a2ecc946dd31019c9b5d64	no	3
Warnings:					
Information:					
18	NPL Documents	03-748-Z_FOA_020110.pdf	1311347 7bb9ee040aa14395847fbc1f23beb3a80cc17c57	no	26
Warnings:					
Information:					
19	Fee Worksheet (PTO-875)	fee-info.pdf	30211 d2ac4a94d4445e4149e2ed22f3f23b3156a7befb	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			10540621		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					